

**PATENT APPLICATION**

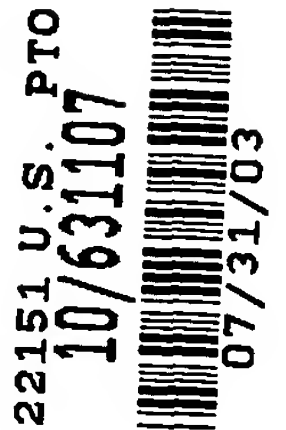
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: CA1169

Michael John HODGSON

Filed: July 31, 2003



For: METHOD AND SYSTEM OF TRADING A STANDARDIZED CONTRACT

**"EXPRESS MAIL" CERTIFICATE OF MAILING**

I hereby certify that this paper and the attachments hereto are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Certificate Number: EU782142568US

**EU 782142568 US**

Date: July 31, 2003

Signed:   
Thea K. Wagner

**Enclosures**

1. Application Transmittal Letter (original and 1 copy)
2. Application Data Sheet
3. Application, including the specification (pages 1-27), claims (Claim Nos. 1-16, pages 28-32), and the Abstract (33 page)
4. Drawings (6 sheets)
5. Non-Publication Request



1010 El Camino Real  
Menlo Park, CA 94025-4345  
T 650.325.5800  
F 650.325.6606  
www.sughrue.com

July 31, 2003

**MAIL STOP PATENT APPLICATION**

Express Mail No. EU782142568US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Application of Michael John HODGSON  
METHOD AND SYSTEM OF TRADING A STANDARDIZED CONTRACT  
Our Ref. CA1169

Dear Sir:

Attached hereto is the application identified above comprising 33 sheets of the specification, including the claims and abstract, 6 sheet(s) of drawings, and executed Declaration and Power of Attorney. Also enclosed is an Application Data Sheet and a Non-Publication Request.

Applicant claims benefit of small entity status in accordance with 37 CFR § 1.27.

The Government filing fee is calculated as follows (**Small Entity fees apply**):

Total claims	<u>28</u>	-	<u>20</u>	=	<u>8</u>	x	\$9.00	=	<u>\$72.00</u>
Independent claims	<u>2</u>	-	<u>3</u>	=	<u>          </u>	x	\$42.00	=	<u>\$0.00</u>
Base Fee									<u>\$375.00</u>
Multiple Dependent Claim Fee									<u>\$140.00</u>
<b>TOTAL FEE</b>									<u><b>\$587.00</b></u>

Please charge the statutory filing fee of \$587.00 to Deposit Account No. 19-4880. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this transmittal letter is attached.



Sughrue

SUGHRUE MION, PLLC

Commissioner for Patent

July 31, 2003

Page 2

Priority is claimed from:

Country

Application No

Filing Date

U.S. Provisional

60/400,358

July 31, 2002

U.S. Provisional

60/429,889

November 26, 2002

Respectfully submitted,  
SUGHRUE MION, PLLC

Attorneys for Applicant

By: Frank L. Bernstein

Frank L. Bernstein

Registration No. 31,484

SUGHRUE MION, PLLC

Telephone: (650) 325-5800

Facsimile: (650) 325-6606

MENLO PARK OFFICE

23493

CUSTOMER NUMBER

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Michael John HODGSON
	Title	METHOD AND SYSTEM OF TRADING A STANDARDIZED CONTRACT
	Attorney Docket Number	CA1169

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 31, 2003

Date



Signature

650-325-5800

Telephone number

Frank L. Bernstein, Reg. No. 31,484

Typed or printed name

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**EXPRESS MAIL NO. EU782142568US**

This collection of information is required by 37 C.F.R. 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.